

## #1 **Assessing the climate impact of air transport**

### **Towards eco-friendly operations**

Acting now to reduce the climate impact of aviation



## Towards eco-friendly operations **Acting now to reduce the climate impact of aviation**

Day-to-day flight operations are perhaps the least easily understood field of air transport. Yet, it is one of the most relevant levers for short-term actions intending to reduce the climate impact of air transport, since it can affect all in-service aircraft without requiring major technological breakthroughs.

But reducing the climate impact of aviation requires understanding it:

From this standpoint, climate science has made significant progress, allowing both to model and quantifu the impact of carbon dioxide  $(CO<sub>2</sub>)$ emissions, but also to better understand the effects of condensation trails their induced cirrus clouds, and to a lesser extent, those of nitrogen oxides.

Leveraging on these results, research in the field of flight optimisation shows that implementation of eco-friendly flight operations offers the potential to reduce the climate impact of aviation by more than  $10\%$  when considering only  $CO<sub>2</sub>$ effects, and over 20% when compounding all effects.

In order to achieve tangible gains as quickly as possible and take advantage of current air traffic conditions that are favourable to experimentation, reliance on **local ecosystems** willing to commit to the ecological transition of their operations is crucial.

#### TO MAKE THIS TRANSITION A SUCCESS, WE MAKE THREE MAIN PROPOSALS:

- First, set up and disseminate a single source of truth, reliable, neutral, objective, shared and transparent, enabling each party to assess the climate impact of its operations on each segment of each flight.
- Second, develop operational and technological frameworks that enable continuous reduction of the environmental impact of these operations by facilitating collaboration between pilots, airlines and air navigation services, starting through digital tools. To act quickly, deployment could be limited initially in space and/or time, and later extended to increase in scope.
- Third, for each local ecosystem, put in place as quickly as possible measures making such operations economically viable for each party, for example by facilitating communications to passengers and investors of the ecological performance of stakeholders' operations, or promote eco-friendly behaviour through economic.

# |
|
| **Assessing the climate impact**

## **of air transport**

## **Towards eco-friendly operations**

Acting now to reduce the climate impact of aviation

[Introduction](#page-6-0) page 7



**1** - Evaluating the climate [impact of human activity](#page-9-0) page 10

> **2** [- Understanding the climate](#page-9-0)  impact of air transport page 10

**3** [- Assessing the climate](#page-13-0)  impact of air transport page 14

> [Appendices](#page-14-0) page 15

6 [This document is not to be reproduced, modified, adapted, published, translated in any material form in whole or in part nor disclosed [1] to any third party without the prior written permission of Thales.

<span id="page-6-0"></span>Introduction

No one can deny the major role of aviation in the development of modern societies: it has brought people together and has contributed significantly to global economic growth.

However, like many human activities, air transport has an ecological footprint and more specifically a significant climate impact.

The International Council on Clean Transportation (ICCT) estimates the share of air transport at  $2.4\%$  of 2018 global CO<sub>2</sub> emissions (Graver, Zhang, & Rutherford, 2018).

To reduce its environmental impact, the air transport community is thus actively working in four complementary directions:

760

- Develop low-carbon footprint aircraft: hydrogen, electric, hybrid…
- Introduce sustainable aviation fuels (SAF) compatible with existing aircraft: sustainable biofuels, synthetic fuels...
- Renew aging fleets with newer, more efficient in-production aircraft.
- … and finally optimize flight operations of in-service aircraft in order to reduce their environment footprint.

#### ECO-FRIENDLY FLIGHT OPERATIONS: ACT NOW, EVERYWHERE, AND AT LOW COST

While the first two approaches are obviously the most promising since they enable truly low-carbon air transport, they must overcome several significant challenges:

- On one hand, development of low-carbon aircraft requires major technological and logistical breakthroughs and experts do not anticipate mass production to start before the end of the next decade.
- > On the other hand, deployment of SAF will necessarily be gradual: initially limited<sup>1</sup> to SAF based on the sustainable exploitation/recycling of biomass, their use will grow with the development of synthetic fuel. However, large-scale deployment of low carbon synthetic fuel is not foreseen before 2035 at the earliest. The positive impact of fleet renewal on air transport environmental footprint no longer needs to be demonstrated2. However the cost of such renewal for airlines is very high – A320neo list price is  $$110M - in a time when airlines'$ investment capabilities are seriously hampered by the COVID crisis.

Therefore, the fourth approach seems to be the most accessible in the short term while being cumulative with the three first ones: optimizing the day-to-day flight operation of in-service aircraft to reduce their ecological impact. Throughout the following of this document, we refer to such operations as eco-friendly operations.

#### WHAT ARE FLIGHT OPERATIONS?

Flight operations are probably the area of air transport that is the least easily understood by the general audience.

This document focuses more specifically on the subset of these flight operations having an impact on aircraft emissions,

- Strategic and pre-tactical flight planning activities:
	- Strategic flight planning carried out by airlines (flight scheduling) and consolidated/adjusted by Air Navigation Service Providers (ANSPs), the result being a validated flight plan filed for each aircraft.
	- Flight preparation, including the determination of the quantity of fuel carried and more generally flight related operational planning (catering, supplies…).
- > Tactical flight execution activities:
	- Taxiing (for departure and arrival), carried out in collaboration between air traffic control and the crew, possibly with the help of a pushback tug.
	- The actual flight and its integration into air traffic, carried out in collaboration between the crew, air traffic control and the airline, based on the filed flight plan and taking into account the conditions of the day: weather, load factor...

#### EVALUATE, EXPLORE, EXPERIMENT, DEPLOY<sub>1</sub>

This document thus aims at describing more precisely the challenges of the ecological transition of flight operations:

- We first summarize the methods for assessing the climate impact of aviation that has been developed by the scientific community and that are now widely recognized. We also show how the understanding of this impact itself is improving.
- Using these methods and state-of-the-art flight optimization research, we try to assess the order of magnitude of the potential for eco-friendly flight operations to reduce the climate impact of air transport.
- We then identify the challenges that air transport will have to face to deploy these eco-friendly operations.
- Finally, we introduce three proposals allowing to engage all air transport stakeholders in order to achieve these reductions as quickly as possible.

### **A** few definitions

In the context of Air Traffic Flow Management, considering a D-day flight, the strategic phase includes dispatching and flight planning activities carried out between one year and D-7, the pre-tactical phase takes place between D-7 and D-1 and finally the tactical phase  $\frac{1}{2}$  takes place on D-day.

*<sup>1</sup> (EEA, EASA & EuroControl, 2020) estimates that, if the whole European biofuel production was dedicated to SAF, it would only account for 4% of kerosene consumption in Europe in 2019. It also states that the average use of SAF in Europe should not exceed 1% in the short term because of their high price. <sup>2</sup>* The latest generation A320neo is at least 15% more efficient than a classic A320 according to (Hensey & Magdalina, 2018). This number is probably *underestimated as it doesn't take into account replaced aircraft's airframe and engine aging.*

## Assessing the climate impact of air transport

Much of the recent debate on aviation's ecological footprint focuses on its actual contribution to global warming. To address this question, we first introduce how the climate impact of a human activity can be assessed, then address the specifics of air transport  $impact<sup>3</sup>$  (and the associated uncertainties), and finally provide some elements to evaluate it.

#### Annex A.1

on page 14 provides further details on the different units of measurement of the ecological footprint and the climate models involved.

*<sup>3</sup>* The environmental impact of a product generally spans over its life cycle: design, raw materials, manufacturing, operations, recycling and dismantling. However, in the case of air transport, flight operations are overwhelmingly predominant. Indeed (Tyler, 2013) shows that the share of design and production activities in the GHG emissions of a transport aircraft over its lifetime is ranging from 1.6% (long-haul) to 3.5% (short to medium-haul).

#### <span id="page-9-0"></span>**1. EVALUATING THE CLIMATE IMPACT OF A HUMAN ACTIVITY**

One can model Earth and its atmosphere as an energy system heated by the absorbed solar irradiance and cooled by the energy radiated back to space.

Human activity increases the amount of greenhouse gases (GHG) present in the atmosphere, modifying the Earth's radiation balance and causing climate temperatures to rise.

To allow comparison between climate impact of physicochemical phenomena having different intensities and lifetimes, scientists have developed the notions of Effective Radiative Forcing (ERF) and Global Warming Potential (GWP):

Effective Radiative Forcing (ERF) is a key metric for evaluating climate impacts of a GHG. To make it simple, the ERF of a human activity quantifies the impact of the effect on global warming in W  $m^2$  – warming being positive and cooling being negative.

#### **2. UNDERSTANDING THE CLIMATE IMPACT OF AIR TRANSPORT**

Science describes the climate impact of flight operations as the result from kerosene combustion by the aircraft engines. The best-known chemical byproduct of this combustion is CO2, but other effects and byproducts directly or indirectly contribute to climate warming.

> The integration of this impact over time determines an energy accumulation and allows to compare effects with very different lifetimes and intensities.

It can thus be compared to the amount of  $CO<sub>2</sub>$  that would have generated an equivalent warming over the same period.

The Global Warming Potential (GWP) associated to given GHG emission corresponds thus to the amount of  $CO<sub>2</sub>$  which would have generated a warming effect equivalent to the GHG emission over a given period.

GWP is a key metric of various climate agreements; it is expressed in arams of  $CO<sub>2</sub>$ -equivalent and abbreviated  $qCO<sub>2</sub>$ -eq. The most commonly used GWP100 corresponds to a time horizon of 100 years.

These so-called non- $CO<sub>2</sub>$  effects include the emissions of nitrogen oxides  $(NO_x)$ , water vapor or droplets  $(H_2O)$ , sulfur oxides (SO<sub>x</sub>), and soot.



The above figure describes the impacts of kerosene combustion by aircraft engines. It shows the three levels of this process:

Engine fuel combustion and direct emissions (top).

Induced atmospheric processes and byproducts (middle).

Resulting cascading climate impacts (bottom).

#### 2.1. CO2 IMPACT, A WELL-UNDERSTOOD LONG-LASTING PHENOMENON

Today science understands the climate effect of  $CO<sub>2</sub>$  quite well, and carbon life cycle models now provide accurate and reliable forecasts. While present in the atmosphere,  $CO<sub>2</sub>$  is a greenhouse gas causing climate temperatures to rise. Progressive absorption by natural reservoirs – so-called carbon sinks – such as oceans and forests takes many decades or even centuries. This long life cycle gives CO<sub>2</sub> emissions **a strongly cumulative global warming effect.**  The contribution of aviation to  $CO<sub>2</sub>$  emissions is also well known and is a direct result of engine fuel combustion. The mass of  $CO<sub>2</sub>$  instantly produced can thus be directly derived from the instant amount of fuel burn – a commonly accepted ratio is 3.16 kilogram of  $CO<sub>2</sub>$  emitted per kilogram of kerosene burned - *Graver, Zhang, & Rutherford,*  2018.

#### 2.2. THE BRIEF BUT POWERFUL CONTRAILS

Compared to  $CO<sub>2</sub>$ , condensation trails – contrails – and their induced artificial cirrus clouds have a far shorter lifetime – typically hours – but their impact is far more intense: their overall global warming potential is thus comparable to CO2.

Contrails form at high altitude at aircraft engine exhaust or wing tips, and are composed primarily of water in the form of ice crystals. Impurities in the burnt fuel exhausts provide some of the seed particles for their formation. Contrails can dissipate in minutes through ice sublimation, or persist for dozens of hours in cold and humid areas as cirrus clouds, spreading over wide areas (see figure below).

#### *Figure 2*

Mean nocturnal radiative forcing of artificial cirrus clouds induced by contrails (results from data collected by the MODIS instrument onboard the NASA Aqua satellite)



*<sup>4</sup>* During the day this effect is mostly offset by their reflecting of incoming sunlight.

These account for the bulk of the climate effect of contrails Haywood, et al., 2009, Kärcher, 2018, *Lee, et al., 2020*, slowing the natural radiative cooling of the earth mostly during the night<sup>4</sup>.

Their precise modeling and interactions with nearby clouds remain open research topics. Indeed, their size is small relative to the mesh size of climate simulation models. For these reasons, uncertainty as to the overall climate impact of contrails remains high.

#### 2.3. THE MIXED EFFECTS OF NITROGEN **OXIDES**

Nitrogen oxides (NOx) are a byproduct of kerosene combustion. Their production depends on the fuel burn, the temperature and pressure inside the combustion chamber. Science also understands the interaction between NO<sub>x</sub> and the atmosphere quite well.

First,  $NO<sub>x</sub>$  are the precursors of ozone  $[O<sub>3</sub>]$ , a welldocumented greenhouse gas that contributes to global warming. The production of  $O_3$  increases:

- $\triangleright$  With altitude, given tha t NO<sub>x</sub> has a longer lifespan at high altitude - *Fröming, et al., 2012*.
- Close to the equator, where more intense solar radiation favors the transformation of  $NO<sub>x</sub>$  into  $O<sub>3</sub>$ - *Shine, Bernsten, Flugestvedt, & Sausen, 2005*.
- In anticyclonic ridges or jet streams *Fröming, et al., 2020*.

Second,  $NO_x$  interacts with methane  $(CH_4)$ , a potent greenhouse gas, reducing its concentration in the atmosphere thus leading to climate cooling.

Science has a lesser understanding of this phenomenon, although it also seems to vary with altitude *Fröming, et al., 2012*.

While the climate effects of  $CO<sub>2</sub>$  and contrails unambiguously increase global warming, those of  $NO<sub>x</sub>$ are mostly warming when the effect on  $O_3$  predominates (high altitude, equator, anticyclonic ridges or jet streams) and mostly cooling when the effect on  $CH<sub>4</sub>$  predominates (low altitudes).

Although this makes the climate impact modeling more complex *Fröming, et al., 2020*, state-of-the-art studies *Lee, et al., 2020*, consider the overall effect as warming.

#### The impact of synthetic fuels on contrail formation

The abundance of contrails generated inflight depends on the quantity of soot and water emissions. According to - *Beyersdorf, et al., 2014*, synthetic fuels produce 86% less soot than traditional fuels. It is thus fair to assume that SAF usage will result in a significant decrease of contrails.

However, considering the expected use of SAF will probably remain low in the short term, it seems reasonable to expect a low reduction of  $\vdots$  contrails through SAF over such timeframe.

#### A warmer effect in the northern hemisphere

*Faber, et al., 2008* gives a complementary perspective: since the lifespan of the O3 effect is short (a few weeks), its warming effect is mostly local. On the contrary, its cooling effect following the reduction of methane lasts much longer (decades), and is much more global.

This leads to a combined significant warming in high traffic density areas (e.g. northern hemisphere), and lower warming or even cooling in low traffic density areas (e.g. southern hemisphere).

#### $2.4$ . CO<sub>2</sub> and non CO<sub>2</sub> effects: different dynamics, similar order of magnitude

Table 1 provides assessment of the GWP of the  $CO<sub>2</sub>$  and non-CO<sub>2</sub> effects of aviation based on the reference work performed by *Lee, et al., 2020*. These effects appear equivalent in magnitude, in spite of very different intensity and durations.

Indeed, several government agencies, such as ADEME in France, recommend that  $CO<sub>2</sub>$  and non- $CO<sub>2</sub>$  effects shall be considered as approximately equivalent ADEME, 2020.

#### GWP<sub>20</sub> **Contrails & cirrus NO<sub>x</sub>**  $\Box$  CO<sub>2</sub> GWP<sub>50</sub> GWP<sub>100</sub> Effect  $\mathsf{GWP}_{100}$  GWP $_{20}$  GWP $_{20}$  GWP $_{50}$  GWP<sub>50</sub> GWP<sub>100</sub>  $CO<sub>2</sub>$  (GT CO<sub>2</sub>) 1034 1034 1034 1034 Contrails and cirrus (Gt CO2-eq) 2399 1129 652 Net effect of NO<sub>x</sub> (Gt CO<sub>2</sub>-eq) 887 293 163 Others (Gt CO<sub>2</sub>-eq)  $-188$  -88  $-51$ Total Gt CO<sub>2</sub>-eq 4128 2366 2366 1797  $CO<sub>2</sub>$ -eq toCO<sub>2</sub> ratio  $4.0$   $2.3$   $1.7$ Comparative impacts of CO<sub>2</sub> and non-CO<sub>2</sub> effects of aviation on GWP<sub>20</sub>, GWP<sub>50</sub> and GWP<sub>100</sub> according to (Lee, et al., 2020), in gigatons (Gt) par year. *The warming factors grouped under the "others" category correspond to lesser effects: sulphur oxides, water vapor and soot.*

These results illustrate the **complexity** of climate impact assessment and associated policies: short-lived contrails and their induced cirrus clouds have a much stronger effect on GWP<sub>20</sub> than on GWP<sub>100</sub>, while  $CO<sub>2</sub>$  effects are much longer lasting: when  $CO<sub>2</sub>$  emission and contrail avoidance require contradictory measures, shall regulators privilege short term climate impact (and thus contrail) or long term CO<sub>2</sub> impact?

Table 2 summarizes the confidence level associated with the understanding of these three phenomena: it shows that, even if the **confidence level** on the effects of contrails/ induced cirrus on the one hand and  $NO<sub>x</sub>$  on the other hand are of different natures, they remain low.

#### *Table 2*

*Table 1*

Level of confidence in the climate effect models of the main contributors of aviation, according to (Lee, et al., 2020)



#### <span id="page-13-0"></span>**3. ASSESSING THE CLIMATE IMPACT OF AIR TRANSPORT**

While it would be very difficult to estimate the ERF and GWP<sub>100</sub> of each car trip or building on a global scale, such an assessment is technically feasible in the short term for each commercial flight, based on data emitted by the aircraft or collected onboard and on models from climate research.

Table 3 describes the data required to compute such impact on any trajectory segment – that is to say over any given area – based on the reference models of the three main effects we have just described.

*Table 3*

State of the art of the data needed to calculate the ERF or GWP <sub>100</sub> of an individual flight over	
<b>Effect</b>	<b>Required data</b>
CO,	Fuel consumption for the considered flight segment: $CO2$ results from a commonly accepted ratio of 3.16 kg of $CO2$ emitted per kilogram of kerosene burned, Graver, Zhang, & Rutherford, 2018.
Contrails and induced cirrus	Weather conditions in the area of the flight segment (humidity, temperature and pressure). Knowledge of engine thrust level along the flight segment can improve this assessment (the reference models assume the same default thrust level for all aircraft types).
Net effects of $NOx$	Engine thrust level, weather conditions and composition of the atmosphere in the flight segment area (solar radiation, winds and pressure).

Obviously, far from constituting immutable references, these models are constantly evolving as research progresses. These evolutions mainly concern:

- Emission measurement/emission models: increasing accuracy of emission models and measurements, increasingly direct emission measurements.
- Measure of the atmospheric conditions around the plane: increasing accuracy of atmosphere models, increasingly direct atmospheric condition measurements.
- Physicochemical models of the atmosphere: increasing accuracy of the models associated with emissions' lifecycle and their interactions with their environment.
- Modeling of climatic events: increasing accuracy of climate models associated to the physicochemical interactions in the atmosphere.

It is therefore possible to evaluate the climate impact of any flight segment with a reasonable accuracy using existing operational data and models. This accuracy will increase as research on models progresses and collected data accuracy increases.

<span id="page-14-0"></span>

#### **BETTER UNDERSTANDING THE CLIMATE IMPACT OF AVIATION**

This appendix describes in detail the different elements used to measure the climate impact of an emission and their mutual relationships: radiative forcing, effective radiative forcing, concentration trajectories, global warming and temperature change potential. It then introduces the reference climate models used to calculate the impact of  $CO<sub>2</sub>$ , NO<sub>x</sub> and contrails.

#### A.1. RADIATIVE FORCING

Radiative forcing (RF) can be conceptually defined as a change in the energy equilibrium of earth system, caused by a perturbation – gas or aerosol emission. It is a flux expressed in W.m<sup>-2</sup>.

In a quantitative way, RF is therefore an incident flux difference caused by a perturbation on Top of Atmosphere (TOA) or at the tropopause.



The energy state of the Earth's climate system results from the difference between the radiative power flux incoming from the sun and that reflected or emitted by the earth. Disturbances cause the system to shift towards a new equilibrium, with measurable changes in temperature at different altitudes.

The following figure shows different boundary conditions for the return to equilibrium.



Radiative Forcing (RF) and Effective Radiative Forcing (ERF) correspond to two types of boundary conditions, described in the table below.

*Table 1*

*Figure 7*

*Boundary conditions corresponding to radiative forcing and effective radiative forcing.*



The ERF/RF ratio is sometimes used to characterize which element is most disturbed, such as surface temperature.

#### A.2. REPRESENTATIVE CONCENTRATION PATHWAY

In its fifth report, IPCC established four RCP (Representative Concentration Pathway) trajectory scenarios of radiative forcing to the 2100 horizon - *Intergovernmental Panel on Climate Change, 2014*.

Each RCP scenario forecast climate changes likely to result from different assumptions regarding greenhouse gas emission over this century. Their names correspond to the predicted radiative forcing reached in 2100: the RCP2.6 scenario corresponds to a radiative forcing of  $+2.6$  W.m<sup>2</sup>, the RCP4.5 scenario to  $+4.5$  W.m<sup>2</sup>, and so on for RCP6 and RCP8.5 scenarios.spreading over wide areas (see figure below).

#### *The four RCP scenarios considered by the IPCC (Intergovernmental Panel on Climate Change, 2014)*



#### IPCC Reprensentative Concentration Pathways

Each RCP scenario has different effects, as shown in the following table. The climate community widely deems the RCP8.5 scenario (also called "business as usual") as unlikely, because of climate actions already undertaken. RCP4.5 roughly matches current global warming trends, while climate agreements aim for RCP2.6 or better.

*Table 2*

*Changes in temperature and sea level for each RCP scenario, according to IPCC (Intergovernmental Panel on Climate Change, 2014).*



#### A.3. CALCULATIONS

Climate change estimate can range over different time horizons, typically 20 to 100 years.

The Global Warming Potential (GWP) represents the overall energy added to the climate system because of pollution, compared to reference  $CO<sub>2</sub>$  emissions. In figure 6, the blue curve represents the radiative forcing of  $CO<sub>2</sub>$  in time, the green and red curves that of other pollution with shorter but more intense effects. GWP is the integration of radiative forcing over the considered period, and gives the equivalent CO<sub>2</sub> (CO<sub>2</sub>-eq) emissions to various pollutions over a given period.

The Global Temperature change Potential (GTP) represents the global average change in surface temperature at a given time in response to a pulse of given type of emissions compared to CO<sub>2</sub>.

#### *Figure 8*

*Global Warming Potential (GWP) and Global Temperature change Potential (GTP) according to (Intergovernmental Panel on Climate Change, 2014)*



#### A.4. APPLICATION TO AIR TRANSPORT

Air traffic emissions include emissions of  $CO<sub>2</sub>$ , NO<sub>x</sub>, water vapor, contrails cirrus, aerosols and soot. The RF can be calculated from changes in emission concentration in the atmosphere, or attenuation of solar radiation, especially when complex phenomena are involved (interactions, exchanges...).

#### **RF calculation for CO2 and associated uncertainty**

The RF of  $CO<sub>2</sub>$  is a function of fuel burn, according to the stoichiometric coefficients of the combustion reaction<sup>4</sup>. The  $CO<sub>2</sub>$  dilutes in the atmosphere and results in a concentration measured in parts per million (ppm).

Natural sinks capture the  $CO<sub>2</sub>$  according to kinetics approximated by Impulse Response Function (IRF) models. The Beer-Lambert formula thus computes the RF:

$$
RF = \alpha \cdot ln \left( \frac{C_o + \Delta C}{C_o} \right)
$$

Where  $C_0$  is the reference concentration in 1940 and  $\alpha$  is a constant equal to 5.35 W.m<sup>2</sup> Myrhe, Highwood, Shine, & Stordal, 1998.

For each year, given the quantity of fuel burn, we can deduce  $CO<sub>2</sub>$  emissions, the resulting  $CO<sub>2</sub>$  concentration in the atmosphere, and the IRF, which can predict  $CO<sub>2</sub>$ concentration over time. We can finally integrate the latter over the chosen duration.

When *Lee, et al., 2020,* identify an average RF of 34 mVV.m<sup>2</sup>, it corresponds to the RF of  $CO<sub>2</sub>$  accumulated between 1940 et 2018 in the atmosphere, deduction made of the  $CO<sub>2</sub>$  captured by natural sinks.

In addition to fuel burn uncertainties, calculation uncertainties arise in the atmosphere carbon cycle and carbon capture impulse response models.

#### **RF calculation for NO<sub>x</sub> and associated uncertainty**

In atmospheric chemistry,  $NO<sub>x</sub>$  refers to the sum of  $NO$ and  $NO<sub>2</sub>$ . In the presence of light, two cycles of coupled chemical reactions between  $NO<sub>X</sub>$  and  $HO<sub>X</sub>$  produce ozone  $|O_3|$  and consume methane  $|CH_4|$  and carbon monoxide (CO) - *Isaksen, et al., 2014*. These well-known phenomena lead to positive forcing for ozone and negative forcing for methane.

Models with different biases exist, to account for both shortterm and long-term effects. They lead to a high degree of uncertainty in the estimates and the when combining the two effects.

#### **RF calculation for contrails, and associated uncertainty**

Aviation creates artificial clouds induced by the formation of contrails in an atmosphere supersaturated with ice<sup>5</sup> through nucleation, mainly on combustion soot particles. There are two disturbances: linear contrails and artificial cirrus resulting from their fusion.

Calculating the RF of contrails and the artificial cirrus clouds they induce relies on a global climate model. Required inputs include cloud cover, volume and length of the trail, the ice/water ratio and the concentration of ice crystals. A reference model is the ECHAM5-CCMod - *Bickel, Ponater, Bock, Burkhardt, & Reineke, 2020*. There are two types of uncertainties:

- The response of artificial cirrus clouds to solar illumination (flux transfer model in particular in the presence of ice crystals, cloud homogeneity, impact of the presence of soot),
- Mechanisms of formation of artificial cirrus from contrails (supersaturation rate, lifetime, interactions with natural clouds).

*<sup>4</sup>* The commonly used ratio is 3.16kg of CO*2* emissions per kilogram of kerosene burned (Graver, Zhang, & Rutherford, 2018). *5 Quenching a saturated solution results in a supersaturated solution.*

#### A.2. ABBREVIATIONS

#### $\geq$  AIC

Aircraft Induced Cloudiness (cloud formation induced by combustion soot)

> ANSP

Air Navigation Service Providers

 $\geq$  APU

Auxiliary Power Unit

ATAG Air Transport Action Group

ATM Air Traffic Management

> ATSU Air Traffic Service Unit

 $\geq$ CDM Collaborative Decision Making

> CORSIA Carbon Offsetting and Reduction Scheme for International Aviation

DLR German Aerospace Center (Deutsches Zentrum für Luft- und Raumfahrt e.V.)

EASA European Aviation Safety Agency

EFB Electronic Flight Bag

>EMAS Eco-Management and Audit Scheme

 $\geq$ ERF Effective Radiative Forcing

>ETS European Emission Trading System

FABEC Functional Airspace Block – Europe Central

>FMS Flight Management System

**SGHG** Green House Gases

Gt

Gigatons (106 metric tons)

GTP Global Temperature change Potential

GWP Global Warming Potential

ICAO International Civil Aviation Organization

>ICCT International Council for Clean Transportation

>IPCC Intergovernmental Panel on Climate Change

 $\overline{\phantom{a}}$  IRF Impulse Response Function

 $>$ KPI Key Performance Indicator

 $>$  LCC Low-Cost Carrier

MODIS Moderate Resolution Imaging Spectroradiometer

 $>$  NM Nautical Mile

> RCP Representative Concentration Pathway

>RF Radiative Forcing

> RPK Revenue Passenger Kilometers

 $>$ RTK Revenue Ton Kilometers

> SAF Sustainable Aviation Fuel

> SESAR Single European Sky ATM Research

> SMS Safety Management System

> SSOT Single Source of Truth

>TOA Top Of Atmosphere



#### A.3. REFERENCES

#### ADEME. (2020).

Base Carbone - Scope 3 - Aérien. Retrieved from Centre de ressources sur les bilans de gaz à effet de serre: https://www.bilans-ges.ademe.fr/documentation/ UPLOAD\_DOC\_FR/index.htm?aerien.htm

#### Alligier, R., Gianazza, D., & Durand, N. (2015).

Machine Learning and Mass Estimation Methods for Ground-Based Aircraft Climb Prediction. IEEE Transactions on Intelligent Transportation Systems, 16(6), 3138-3149.

#### ATAG. (2020).

Tracking Aviation Efficiency: How is the Aviation Sector Performing in its Drive to Improve Fuel Efficiency, in line with its Short-Term Goal? Waypoint 2050.

> Atmosfair. (2011). Atmosfair Airline Index. Berlin.

#### Atmosphere Monitoring Service. (2020).

Ozone Layer and Ultra-Violet Radiation. Récupéré sur Copernicus.

#### Baumeister, S., & Onkila, T. (2017).

An Eco-Label for the Airline Industry? Journal of Cleaner Production, 142, 1368-1376.

Beyersdorf, A., Timko, M., Ziemba, L., Bulzan, D., Corporan, E., Herndon, S., . . . Anderson, B. (2014). Reductions in Aircraft Particulate Emissions Due to the Use of Fischer–Tropsch Fuels. Atmospheric Chemistry and Physics, 14(1), 11-23.

#### Bickel, M., Ponater, M., Bock, L., Burkhardt, U., & Reineke, S. (2020).

Estimating the Effective Radiative Forcing of Contrail Cirrus. Journal of Climate, 33(5), 1991-2005.

#### Brasseur, G. P., Gupta, M., Anderson, B. E., Balasubramanian, S., Barret, S., Duda, D., . . . Zhou, C. (2016).

Impact of Aviation On Climate. American Meteorological Society, 561-583.

#### Carbon Trust. (2020).

Product Carbon Footprint Protocol. Part 1: Requirements for Certification.

#### Currie, C., Marcos, A., & Turnbull, O. (2016).

Wind Optimal Flight Trajectories to Minimise Fuel Consumption within a 3 Dimensional Flight Network. UKACC 11th International Conference on Control (CONTROL) (pp. 1-6). IEEE.

#### Dalmau, R., & Prats, X. (2015).

Fuel and Time Savings by Flying Continuous Cruise Climbs: Estimating the Benefit Pools for Maximum Range Operations. Transportation Research Part D: Transport and Environment(35), 62-71.

#### Dalmau, R., Prats, X., Ramonjoan, A., & Soley, S. (2020).

Estimating Fuel Consumption from Radar Tracks: A Validation Exercise using FDR and Radar Tracks from Descent Trajectories. CEAS Aeronautical Journal(11), 355-265.

#### Deonandan, I., & Balakrishnan, H. (2010).

Evaluation of Strategies for Reducing Taxi-out Emission at Airports. 10th AIAA Aviation Technology, Integration, and Operations (ATIO) Conference (p. 9370). Fort Worth, Texas: American Institute of Aeronautics and Astronautics.

#### EASA. (2020).

Updated Analysis of the Non-CO2 Climate Impacts of Aviation and Potential Policy Measures Pursuant to EU Emissions Trading System Directive Article 30(4). European Comission.

#### EEA, EASA & EuroControl. (2020).

European Aviation Environmental Report - 2019.

- Eurocontrol Aviation Intelligence Unit. (2019). Fuel Tankering: Economic Benefit and Environmental Impact. SESAR.
- Eurocontrol Performance Review Commission. (2019). Performance Review Report: An Assessment of Air Traffic Management in Europe. Eurocontrol.
- Faber, J., Greenwood, D., Lee, D., Mann, M., Mendes de Leon, P., Nelissen, D., . . . van de Vreede, G. (2008). Lower NOx at Higher Altitudes: Policies to Reduce the Climate Impact of Aviation NOx Emissions. CE Delft.

#### Flight Safety Foundation. (2020, Octobre). Airliners Accidents Per 1 Million Flights 1977-2017. Récupéré sur Aviation Safety Network: https://aviation-safety.net/statistics/

#### Fröming, C., Grewe, V., Brinkop, S., Jöckel, P., Haslerud, A. S., Rosanka, S., . . . Matthes, S. (2020).

Influence of the Actual Weather Situation on Non-CO2 Aviation: The REACT4C Climate Change Functions. Atmospheric Chemistry and Physics Discussions, 1-30.

#### Fröming, C., Ponater, M., Dahlmann, K., Grewe, V., Lee, D. S., & Sausen, R. (2012).

Aviation-Induced Radiative Forcing and Surface Temperature Change in Dependency of the Emission Altitude. Journal of Geophysical Research: Atmospheres, 117(D19).

#### Giraud, X. (2014).

Méthodes et Outils pour la Conception Optimale des Réseaux de Distribution d'Electricité dans les Aéronefs. Mémoire de thèse, INSA, Toulouse.

Gonenç, R., & Nicoletti, G. (2001). Le Transport Aérien de Passagers : Réglementation, Structure du Marché

- Graver, B., Zhang, K., & Rutherford, D. (2018). CO2 Emissions from Commercial Aviation, 2018. The International Council on Clean Transportation.
- Graver, B., Zhang, K., & Rutherford, D. (2018). CO2 Emissions from Commercial Aviation, 2018. The International Council on Clean Transportation.

Grewe, V., Champougny, T., Matthes, S., Frömming, C., Brinkop, S., Sovde, O., . . . Halscheidt, L. (2014). Reduction of the air traffic's contribution to climate change: A REACT4C case study. Atmospheric Environment, 94, 616-625.

Grewe, V., Frömming, C., Matthes, S., Brinkop, S., Ponater, M., Dietmüller, S., . . . Hullah, P. (2014). Aircraft Routing with Minimal Climate Impact: the REACT4C Climate Cost Function Modelling Approach (V1.0). Geoscientific Model Development, 7, 175-201.

#### Grewe, V., Matthes, S., Frömming, C., Brinkop, S., Jöckel, P., Gierens, K., . . . Shine, K. (2017). Feasibility of climate-optimized air traffic routing for trans-Atlantic flights. (I. Publishing, Éd.) Environment Research Letters, 12.

Haywood, J. M., Allan, R. P., Bornemann, J., Forster, P. M., Francis, P. N., Milton, S., . . . Thorpe, R. (2009). A Case Study of the Radiative Forcing of Persistent Contrails Evolving into Contrail-Induced Cirrus. Journal of Geophysical Research: Atmosphere, 114(D24).

#### Helmore, E. (2020, janvier 15).

Activists Cheer BlackRock's Landmark Climate Move but Call for Vigilance. The Guardian.

Hensey, R., & Magdalina, A. (2018, Juillet 19). A320 NEO vs. CEO Comparison Study. FPG Ametum.

#### ICAO. (2017).

ICAO Council Adopts New CO<sub>2</sub> Emissions Standard for Aircraft. Retrieved from International Civil Aviation Organization.

#### ICAO Air Transport Bureau. (2020).

Effect of Novel Coronavirus (COVID-19) on Civil Aviation: Economic Impact Analysis. Montréal, Canada.

- Intergovernmental Panel on Climate Change. (2014). IPCC Fifth Assessment Report. United Nations.
- > Isaksen, I., Berntsen, T., Dalsoren, S., Eleftheratos, K., Orsolini, Y., Rognerud, B., . . . Holmes, C. (2014). Atmospheric Ozone and Methane in a Changing Climate. Atmosphere, 5(3), 518-535.
- Kärcher, B. (2018). Formation and Radiative Forcing of Contrail Cirrus. Nature Communications, 9(1), 1-17.
- Lee, D. S., Fahey, D. W., Skowron, A., Allen, M. R., Burkhardt, U., Chen, Q., . . . Wilcox, L. (2020). The Contribution of Global Aviation to Anthropogenic Climate Forcing for 2000 to 2018. Atmospheric Environment, 117834.
- Lopez-Leones, J., Polaina-Morales, M., Sanchez-Escalonilla, P., Ferrer-Herrer, D., Sanz-Bravo, M., Celorrio-Camara, F., & Martinez-Mateo, A. (2017). User-Centric Cost-Based Flight Efficiency and Equity Indicators. 7th SESAR Innovation Days.

#### Materna, M. (2019).

Variants of Air Navigation Service Providers' Business Models. 13th International Scientific Conference on Sustainable, Modern and Safe Transport (TRANSCOM 2019).

Matthes, S., Grewe, V., Dahlmann, K., Fröming, C., Irvine, E., Lim, L., . . . Yin, F. (2017).

A Concept for Multi-Criteria Environmental Assessment of Aircraft Trajectories. Aerospace, 4(3), 42.

Matthes, S., Lührs, B., Dahlmann, K., Grewe, V., Linke, F., Yin, F., . . . Shine, K. P. (2020). Climate-Optimized Trajectories and Robust Mitigation Potential: Flying ATM4E. Aerospace, 7(11), 156.

#### Mearns, E. (2016).

High Altitude Wind Power Reviewed. Retrieved from Energy Matters: http://euanmearns. com/high-altitude-wind-power-reviewed/

- Ministère de la Transition Ecologique. (2017). Ciel Unique Européen. Retrieved from https://www. ecologie.gouv.fr/ciel-unique-europeen
- Minnis, P., Ayers, J., Palinkonda, R., & Phan, D. (2004). Contrails, Cirrus Trends, and Climate. Journal of Climate, 17(8), 1671-1685.

#### Mooney, A., & Temple-West, P. (2020, Juillet 26).

Climate Change: Asset Managers Join Forces with the Eco-Warriors. Financial Times.

Myrhe, G., Highwood, E. J., Shine, K. P., & Stordal, F. (1998).

New Estimates of Radiative Forcing Due to Well Mixed Greenhouse Gases. Geophysical Research Letters, 25(14), 2715-2718.

#### Ng, H. N., Sridhar, B., & Grabbe, S. (2014).

Optimizing Aircraft Trajectories with Multiple Cruise Altitudes in the Presence of Winds. Journal of Aerospace Information Systems, 11(1), 35-47.

#### Nutt, C. (2012).

NATS Fuel Efficiency Metric. NATS.

#### Open Airlines.

(2018). What you need to know about Engine-Out Taxi-In. Retrieved from Open Airlines website: blog. openairlines.com/engine-out-taxi-in-eoti

#### Palopo, K., Windhorst, R. D., Suharwardy, S., & Hak-Tae, L. (2010).

Wind-Optimal Routing in the National Airspace System. Journal of Aircraft, 47(5), 1584-1592.

#### Prats, X., Dalmau, R., & Barrado, C. (2019).

Identifying the Sources of Flight Inefficiency from Historical Aircraft Trajectories. Thirteenth USA/Europe Air Traffic Management Research and Development Seminar (ATM2019). Vienna, Austria.

#### Prats, X., Dalmau, R., & Barrado, C. (2019).

Identifying the Sources of Flight Inefficiency from Historical Aircraft Trajectories. Thirteenth USA/Europe Air Traffic Management Research and Development Seminar (ATM2019).

#### Robertson, W., Root, R., & Adams, D. (2007).

Fuel Conservation Strategies: Cruise Flight. Aero, 22-27.

#### Ryerson, M. S., Hansen, M., Hao, L., & Seelhorst, M. (2015).

Landing on Empty: Estimating the Benefits from Reducing Fuel Uplift in US Civil Aviation. Environment Research Letters, 10(9).

#### S&P Global. (2020).

Measuring Intangibles: The SAM Corporate Assessment Methodology.

#### Shine, K. P., Bernsten, T., Flugestvedt, J. S., & Sausen, R. (2005).

Scientific Issues in the Design of Metrics for Inclusion of Oxides of Nitrogen in Global Climate Agreements. Proceedings of the National Academy of Sciences, 102(44), 15768-15773.

#### Sridhar, B., Chen, N. Y., & Ng, H. K. (2010).

Fuel Efficient Strategies for Reducing Contrail Formation in the United States Airspace. 29<sup>th</sup> Digital Avionics Systems Conference, (pp. 1-A 1-1-1 A. 1-9).

#### Sridhar, B., Ng, H. K., & Chen, N. Y. (2011).

Aircraft Trajectory Optimization and Contrails Avoidance in the Presence of Winds. Journal of Guidance, Control and Dynamics, 34(5), 1577-1584.

#### Stuber, N., Forster, P., Rädel, G., & Shine, K. (2006).

The Importance of the Diurnal and Annual Cycle of Air Traffic for Contrail Radiative Forcing. Nature, 441(7095), 864-867.

#### Sun, J., Hoekstra, J. M., & Ellerbroek, J. (2020).

OpenAP: An open-source aircraft performance model for air transportation studies and simulations. Aerospace, 7(8), 104.

#### Teoh, R., Schumann, U., Majumdar, A., & Stettler, M. E. (2020).

Mitigating the Climate Forcing of Aircraft Contrails by Small-Scale Diversions and Technology Adoption. Environmental Science & Technology, 54(5), 2941-2950.

#### Tyler, L. (2013).

A Life Cycle Assessment of the Passenger Air Transport System using Three Flight Scenarios. Master Thesis, Institutt for Energi-og Prosessteknikk.

#### Yin, F., Grewe, V., Fröming, C., & Yamashita, H. (2018).

Impact on Flight Trajectory Characteristics when Avoiding the Formation of Persistent Contrails for Transatlantic Flights. Transportation Research Part D: Transport and Environment, 65, 466-484.

## **Also discover**  our volume #2

![](_page_26_Picture_0.jpeg)

**Assessing the potential climate impact reduction of eco friendly operations**

**Towards eco-friendly operations** Acting now to reduce the climate impact of aviation

![](_page_27_Picture_0.jpeg)

#### Thales LAS France SAS

 3, avenue Charles Lindbergh BP 20351 94628 Rungis cedex France

Tel. +33 (0)1 79 61 40 00 [marketingams@thalesgroup.com](mailto:marketingams%40thalesgroup.com?subject=)

> Thalesgroup.com <

![](_page_27_Picture_5.jpeg)

![](_page_27_Picture_6.jpeg)

05 2022 - Thales has a policy of continuous development and improvement and consequently the equipment me description and specification in this document may not<br>be considered as a contract specification. Graphics do not in be considered as a contract specification. Graphics do not indicate use or endorsement of the featured equipment or service. Crédit photo: AdobeStock - Freepix - Copyright©Thales - Design: - terms, netsemt - tpcommunicatio 05 2022 - Thales has a policy of continuous development and improvement and consequently the equipment may vary from the description and specification in this document. This document may not